

# Small Business Innovation Research (SBIR)

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Earth Science Technology Office Feb. 25, 2002

> TST Meeting Stennis Space Center



## Small Business Innovation Research (SBIR)

- SBIR program provides up to \$670K of seed money for new technology development
- SBIR is three phase program
  - Phase I with funding up to \$70K provides opportunity of establish the feasibility and technical merit of proposed innovation.
  - Phase II with maximum funding of \$600K supports the most promising of the Phase I projects based on scientific/technical merit, expected value to NASA, company capability, and commercial potential.
  - Phase III is the infusion of the Phase II results into regular NASA programs. Funding for this phase must come from sources other than SBIR.



## SBIR Topics and Subtopics

### **Instruments for Earth Science Measurements (GSFC - Matthew McGill)**

- Passive Optical (LaRC-Bill Cook)
- Active Optical (*LaRC- James Branes*)
- In Situ Terrestrial Sensors (GSFC- Stanford Hooker)
- Passive Microwave (GSFC Kathy Long)
- Active Microwave (JPL Wendy Edelstein)
- Passive Infrared Sub Millimeter (JPL Robert Ferber)
- Thermal Control and Cryogenic Systems (GSFC Dan Butler)

### Platform Technologies for Earth Science (GRC: Sandra Reehorst)

- Structures and Materials (*LaRC Peter Lillehei*)
- Guidance, Navigation and Control (GSFC Neil Dennehy)
- Command and Data Handling (GSFC Phil Luers)
- Advanced Communication Technologies for Near-Earth Missions (GRC Art Anzic)
- On-Board Propulsion (GRC Brian Reed)
- Storage and Energy Conversion (GRC John Dickman)
- Life-Cycle Integration, Simulation, Validation, and Collaboration (JPL Norman Lamara)
- Power Management and Distribution (GRC Robert Button)



## SBIR Topics and Subtopics

### Advanced Information System Technology (ARC – Joseph Coughlan)

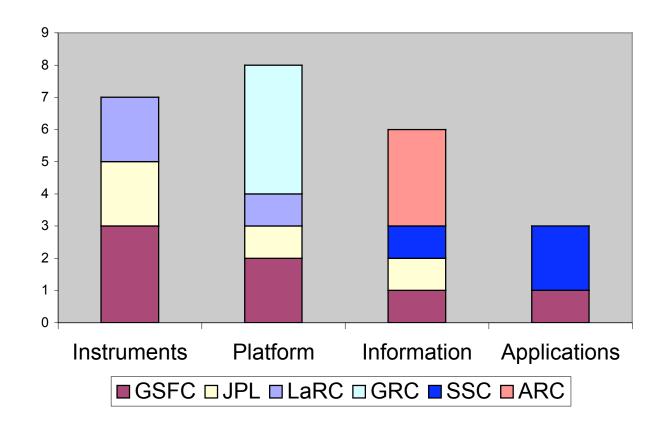
- Knowledge Discovery and Data Fusion (JPL Kenneth Hurst)
- Automation and Planning (ARC James Brass)
- High Performance Computing and Networking (ARC John Ziebarth)
- Geospatial Data Analysis Processing and Visualization Technologies (SSC Thomas Stanley)
- Data Management and Visualization (GSFC Ben Kobler)
- On-Board Science for Decisions and Actions (ARC Joesph Coughlan)

### **Applying Earth Science Measurements (SSC – Mark Mick)**

- Innovative Tools and Techniques supporting ES Measurements (SSC Nathan Sovik)
- Advanced Educational Process and Tools (GSFC Blanche Meeson)
- Integration of Science and Decision-maker Requirements for Ecosystem Health (SSC Anne Peek)



# SBIR Topics Distribution By NASA Centers





## SBIR 2002 PH1 Award Summary

- **Instruments for Earth Science Measurements** 
  - 155 proposals received; 54 recommended by the centers for award; 25 (16%) awarded
- Platform Technologies for Earth Science
  - 222 proposals received; 104 recommended by the centers for award; 18 (8%) awarded
- Advanced Information System Technology
  - 103 proposals received; 37 recommended by the centers for award; 7 (7%) awarded
- Applying Earth Science Measurements
  - 42 proposals received; 22 recommended by the centers for award; 4 (10%) awarded

Total of 522 proposals received for Code Y; 54 (10%) awarded

Total of 730 proposals received for Code R; 72 (10%) awarded

Total of 329 proposals received for Code U; 39 (12%) awarded

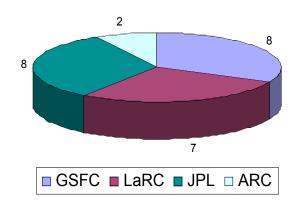
Total of 293 proposals received for Code M; 47 (16%) awarded

Total of 354 proposals received for Code S; 57 (16%) awarded



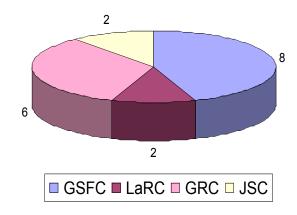
### SBIR 2002 PH1 Awards Distribution

### **Instruments Technologies**

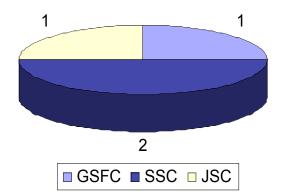


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### **Platform Technologies**



### **Applications**





## SBIR 2002 PH1 & 2001 PH2 Award

| Subtopi | ESE SBIR Solicitation Subtopics  | 2002 Phase I<br>Proposals | 2002 Phase I<br>Awards | 2001<br>Phase I<br>Awards |
|---------|--|---------------------------|------------------------|---------------------------|
|         | Instruments for Earth Science Measurements                                   | 155                       | 25                     | 12                        |
| E1.01   | Passive Optical  | 43                        | 6                      | 3                         |
| E1.02   | Active Optical   | 43                        | 6                      | 2                         |
| E1.03   | In Situ Terrestrial Sensor   | 23                        | 3                      | 1                         |
| E1.04   | Passive Microwave  | 10                        | 2                      | 2                         |
| E1.05   | Active Microwave   | 14                        | 3                      |                           |
| E1.06   | Passive Infrared - submillimeter   | 7                         | 1                      | 2                         |
| E1.07   | Thermal Control for Instruments  | 15                        | 4                      | 2                         |
|         | Platform Technologies for Earth Science Measurements                         | 222                       | 18                     | 13                        |
| E2.01   | Structures and Materials   | 38                        | 4                      | 2                         |
| E2.02   | Guidance Navigation and Control  | 25                        | 2                      | 2                         |
| E2.03   | Command and Data Handling  | 10                        | 1                      |                           |
| E2.04   | Advanced Communication Technologies for Near-Earth Missions                  | 41                        | 3                      | 1                         |
| E2.05   | On-board Propulsion  | 22                        | 2                      | 2                         |
| E2.06   | Storage and Energy Conversion  | 52                        | 4                      | 2                         |
| E2.07   | Life Cycle Integration, Validation & Distribution Collaboration Technologies | 3                         |                        | 4                         |
| E2.08   | Power Management and Distribution  | 31                        | 2                      |                           |
|         | Advanced Information Systems Technologies                                    | 103                       | 7                      | 4                         |
| E3.01   | Knowledge Discovery & Data Fusion  | 21                        |                        |                           |
| E3.02   | Automatioon and Planning   | 13                        |                        | 1                         |
| E3.03   | High-Performance Computing & Networking                                      | 32                        | 3                      | 1                         |
| E3.04   | Geospatial Data Anallysis processing and Visualization Technologies          | 25                        | 3                      | 2                         |
| E3.05   | Data Management and Visualization  | 9                         | 1                      |                           |
| E3.06   | On-Board Science for Decisions and Actions                                   | 3                         |                        |                           |
|         | Applying Earth Science Measurements  | 42                        | 4                      | 2                         |
| E4.01   | Innovative Tools & Technique supporting Earth Science Measurements           | 18                        | 2                      |                           |
| E4.02   | Advanced Educational Processes & Tools                                       | 24                        | 2                      | 2                         |



### SBIR 2003 Schedule

| Topic Development January 22 - February 21 Subtopic Development March 25 Workshop Development March 26-27 @ GSFC Subtopic Development March 28 - April 23 Subtopic Selection March 28 - April 23 Management Meeting March 28 - April 23 Management Meeting March 28 - April 23 May 6-8 at DFRC PMO Solicitation Review May 6-22 Solicitation Approval by HQ/Distribution to NASA Senior Management May 23-July 6 Solicitation Opens March 28 - April 23 May 6-22 Solicitation Closes Management September 9 In-processing Complete September 17 | EHB Opens  | January 21                |
|---|--|---------------------------|
| Workshop  Workshop  Warch 26-27 @ GSFC  Subtopic Development  March 28 - April 23  March 28 - April 23  March 28 - April 23  Management Meeting  May 6-8 at DFRC  PMO Solicitation Review  Solicitation Approval by HQ/Distribution to  NASA Senior Management  Solicitation Opens  May 23-July 6  Solicitation Closes  In-processing Complete  September 9  September 17   | Topic Development IIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII     | January 22 - February 21  |
| Subtopic Development  Subtopic Selection  March 28 - April 23  Management Meeting  May 6-8 at DFRC  PMO Solicitation Review  Solicitation Approval by HQ/Distribution to  NASA Senior Management  Solicitation Opens  Solicitation Closes  In-processing Complete  Subtopic Development  March 28 - April 23  May 6-8 at DFRC  May 6-22  Subtopic September  May 6-8 at DFRC  May 6-22  Solicitation Approval by HQ/Distribution to  Nasa Senior Management  Solicitation Opens  September 9  September 17                                      | Subtopic Development [[]][[]][[][[][][][[][][][][][][[][][ | January 22 - March 25     |
| Subtopic Selection  March 28 - April 23  Management Meeting  May 6-8 at DFRC  PMO Solicitation Review  Solicitation Approval by HQ/Distribution to  NASA Senior Management  Solicitation Opens  July 7  Solicitation Closes  In-processing Complete  September 9  September 17  | Workshop (IIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII             | March 26-27 @ GSFC        |
| Management Meeting May 6-8 at DFRC  PMO Solicitation Review May 6-22  Solicitation Approval by HQ/Distribution to  NASA Senior Management May 23-July 6  Solicitation Opens Management July 7  Solicitation Closes September 9  In-processing Complete September 17   | Subtopic Development                                       | March 28 - April 23       |
| PMO Solicitation Review  Solicitation Approval by HQ/Distribution to  NASA Senior Management  Solicitation Opens  July 7  Solicitation Closes  In-processing Complete  September 9  September 17  | Subtopic Selection   | March 28 - April 23       |
| Solicitation Approval by HQ/Distribution to  NASA Senior Management  Solicitation Opens IIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII  | Management Meeting IIII IIII IIII IIII IIII IIII IIII I    | May 6-8 at DFRC           |
| NASA Senior Management  Solicitation Opens IIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII   | PMO Solicitation Review                                    | May 6-22                  |
| Solicitation Opens July 7 Solicitation Closes September 9 In-processing Complete September 17   | Solicitation Approval by HQ/Distribution to                |                           |
| Solicitation Closes September 9 In-processing Complete September 17   | NASA Senior Management                                     | May 23-July 6             |
| In-processing Complete September 17   | Solicitation Opens [[[[[]]]]]                              | July 7                    |
|   | Solicitation Closes  | September 9               |
|   | In-processing Complete                                     | September 17              |
| Proposal Evaluation September 18 - November 5   | Proposal Evaluation  | September 18 - November 5 |

November 21

November 24 - January 16, 2004

Selection Announcement

Contract Negotiations and Awards



# SBIR 2002 PH1 Awards List (cont.)

|      | Fabry-Perot Double-Cavity Optically Controlled Narrow Tunable  |  |
|------|--|--|
| GSFC | , ,  | New Crea Onto Technology Inc                         |
| LaRc | Bandpass Filter  | New Span Opto-Technology, Inc. Tanner Research, Inc. |
| Larc | Tunable etalon arrays for Earth Science Measurements           | ranner Research, Inc.                                |
| GSFC | High Frequency Amplifiers for Spaceborne Microwave Radiometers | Carbia Minalaga Ina                                  |
| 30FC |  | Sophia Wireless, Inc.                                |
| 2050 | Synthetic Thinned Aperture Radiometer Boom Using Resilient     | Fratas Millandos                                     |
| GSFC | Structures Technology  | Foster-Miller, Inc.                                  |
| JPL  | All-digital, CMOS-based Photodiode Camera                      | Radiation Monitoring Devices, Inc.                   |
| LaRc | High-throughput Tilt-compensated Interferometer                | Manning Applied Technology                           |
| JPL  | Laser Chemical Etching of Spectrometer Gratings                | Princeton Scientific Instruments, Inc                |
|      | Hardware Development of Dimensionally-Stable Articulated       |  |
| LaRc | Deployable Mast  | LMC Instrument Corp., D/B/A Revise, Inc.             |
| LaRc | An Ultra-Narrow Tunable Optical Bandpass Filter                | MetroLaser, Inc.                                     |
|      | A High Repetition Rate, Low Voltage EO Q-Switch for            |  |
| LaRc | Lightweight Pulsed Laser                                       | Boston Applied Technologies, Inc.                    |
| GSFC | Tunable, High Power Fiber Optic Laser for Lidar Applications   | Sigma Research and Engineering Corp.                 |
| LaRc | Quantum-Cascade-Laser-Seeded OPO for DIAL                      | Q-Peak Inc   |
| GSFC | High-Power Pump Laser for Ozone Lidar                          | Q-Peak Inc   |
| LaRc | Active Sensors   | Aculight Corporation                                 |
| JPL  | Ultra-compact high power micro-chip lasets                     | Boston Laser, Inc.                                   |
|      | Flight-based instrumentation for in situ measurements of       |  |
| ARC  | multiple trace gases   | Los Gatos Research                                   |
| GSFC | Microwave Rain Gauge   | Center for Remote Sensing, Inc.                      |
| JPL  | New Structures for Large Sensor Array Platform                 | AEC-ABLE Engineering, Co.                            |
| JPL  | Photonic Phased Array Antenna                                  | AGILTRON Corp.                                       |
| JPL  | High Performance and Low Cost Hybrid Microwave Structure       | Boston Applied Technologies, Inc.                    |
| JPL  | Diamond-Based Sub Millimeter Backward Wave Oscillator          | GENVAC AeroSpace Corp.                               |
| GSFC | Highly Effective Thermoelectric Coolers                        | Sigma Technologies International, Inc.               |
|      | Nanofluid Boiling Module for Precision Cooling of              |  |
| JPL  | Microelectronics   | Microenergy Technologies, Inc.                       |



# SBIR 2002 PH1 Awards List (cont.)

|      | Low-Cost Fabrication of an Integrated, Self-Sufficient   |                              |
|------|--|------------------------------|
| LaRc | MEMS Skin  | Anvik Corporation            |
|      | Passive Non-Rocking Vibration Isolation System for       |                              |
| GSFC | Earth Science Payloads                                   | CSA Engineering, Inc.        |
| JSC  | Rational Engineering of Carbon Nanotube Surfaces         | ZYVEX Corporation            |
|      | Flexible, Low CTE Composites for Precision Deployable    |                              |
| LaRc | Structures   | Foster-Miller, Inc.          |
|      | A Reconfigurable, Decentralized Framework for            |                              |
| GSFC | Formation Flying Control                                 | Princeton Satellite Systems  |
| GSFC | Intelligent Fault Tolerant Control of Spacecraft         | Scientific Systems Co Inc.   |
|      | Bit Transparent Ternary SERDES for Intra-System Data     | Advanced Science and Novel   |
| GSFC | Transfer   | Technology                   |
|      | High-Frequency, Low-Noise Nitride-Based Power            |                              |
| GSFC | Transistors Grown on Bulk III-N                          | SVT Associates, Inc.         |
|      | Low-Loss Packaged Ka-Band (26.5 GHz) MEMS Phase          |                              |
| GRC  | Shifter  | Teravicta Technologies, Inc. |
| GRC  | Holographic Inter-Spacecraft Transceiver System          | Physical Optics Corporation  |
|      |  |                              |
| GRC  | Pulsed Plasma Thruster Piezo-Igniter for Small Satellite | Face Electronics, LLC        |
|      | MEMS Propulsion Technology Utilizing Decomposing         |                              |
| JSC  | Nitrous Oxide Propellant                                 | AeroAstro Corp.              |
|      |  |                              |
| GRC  | Lightweight Unitized Regenerative Fuel Cell              | Proton Energy Systems, Inc.  |
|      | High energy density Li-ion polymer batteries with        |                              |
| GSFC | nanocomposite cathodes                                   | Nanopowder Enterprises, Inc. |
|      | Low Cost/Mass Electrostatically Clean Solar Array        |                              |
| GSFC | (ESCA) System  | AEC-ABLE Engineering, Co.    |
|      | Novel Polyethers Doped with Nanoscale Insulating         |                              |
| GRC  | Oxides for Lithium Battery                               | H.V. Setty Enterprises, Inc. |
| GRC  | High Temperature Capacitors for Power Converters         | TRS Ceramics, Inc.           |
|      |  |                              |



## **SBIR 2002 PH1 Awards List**

|      | Intelligent and Dynamic High Performance Optical        | Intelligent Fiber Optic      |
|------|---|------------------------------|
| ARC  | Network   | Systems                      |
|      | Next Generation Write Head for Commercial               |                              |
| ARC  | Holographic Data Storage                                | Displaytech, Inc.            |
| ARC  | Grid Computing for Commercial Applications              | 3DGeo Development Inc.       |
| SSC  | BasinTools Module 1, Online Remote Sensing Interface    | NVision Solutions, Inc.      |
|      | Automated, Universal Software for Cloud and Cloud       |                              |
| SSC  | Shadow Detection in RS Data                             | SMH Consulting               |
|      | Next Generation, Low Cost, Direct Geo-referencing of    |                              |
| SSC  | Aerial Images   | Seagull Technology, Inc.     |
|      | Commercial GIS extension for visualization of large     |                              |
| GSFC | unstructured geospatial data                            | ProLogic, Inc.               |
|      | ng Earth Science Measurements                           |                              |
| SSC  | Ultra Wide band Water Sensor                            | Intelligent Automation, Inc. |
|      |   | Opto-Knowledge Systems,      |
| SSC  | Universal Stabilized Platform for Hyperspectral Sensors | Inc. (OKSI)                  |
|      |   | Innovative Decision          |
| GSFC | Rich Annotation of Images                               | Technologies, Inc.           |
|      |   | Stattler Henke Accordates    |



# SBIR 2001 PH-II Awards List (Cont.)

|      | Imaging Spectropolarimetric Sensor for Airborne and        |                            |
|------|--|----------------------------|
| LaRc | Ground Based Retrieval of Aerosol Properties               | Aerodyne Research, Inc.    |
|      | Advanced Cryogenic Fabry-Perot Interferometer              | Michigan Aerospace         |
| LaRc | Development  | Corporation                |
| GSFC | An Airborne VNIR and SWIR Imaging Spectrometer             | Flight Landata, Inc        |
| LaRc | Laser Gain Media for Wavelength Specific Applications      | Scientific Materials Corp. |
|      | A switchable holographic circle to point converter for use |                            |
| GSFC | in LIDAR receivers   | Scientific Solutions Inc.  |
|      | Advanced Liquid Crystal on Silicon Optical Phased          | Boulder Nonlinear Systems  |
| LaRc | Arrays   | Inc.                       |
| GSFC | In Situ Lidar for Cloud and Aerosol Radiation Sciences     | SPEC, Inc.                 |
| GSFC | MMW Pyroelectric Sensor Array                              | WaveBand Corp.             |
| GSFC | Compact Terahertz Heterodyne Receivers                     | Virginia Diodes, Inc.      |
| JPL  | Micromachined Interconnects for RF MEMS Relays             | Xcom Wireless, Inc.        |
| JPL  | Broadband Terahertz Frequency Multipliers                  | Virginia Diodes, Inc.      |
| GSFC | Computer Code to Model Loop Heat Pipe Transients           | TTH Research, Inc.         |
|      | High Heat Flux Evaporator for Two Phase Transport          |                            |



# SBIR 2001 PH-II Awards List (Cont.)

| LaRc  | chnologies for Earth Science Measurements  Large Inflatable Self-Rigidizing Polymer Film Structures | United Applied Technologies |
|-------|---|-----------------------------|
| Larto | 3D Antenna Array and GPS Receiver for Combined  | Office Applice Technologies |
| GSFC  | Navigation/Attitude Determination   | NAVSYS Corporation          |
|       | Com+ Simulation Architecture With Application To  | ,                           |
| GSFC  | Tethers And Formation Flying  | Star Technologies, Corp.    |
| GRC   | Transoner Power Transfer for TWT Power Systems  | Face Electronics, LC        |
|       | Low-Cost Hardware for In-Space Oxygen/Hydrogen  |                             |
| JSC   | Propulsion, Phase II  | Ultramet                    |
| GRC   | Novel Catalysts for HAN/HEHN Based Monopropellants  | Sienna Technologies, Inc.   |
|       | Enabling Cluster Based Architecture for Virtual Platforms   |                             |
| GSFC  | and Sensor Webs   | WW Technology Group         |
|       | A Distributed Guidance And Control System For Satellite   | Accurate Automation         |
| GSFC  | Constellations N  | Corporation                 |
|       | Wide-Bandgap CIAS Photovoltaic Absorber on Flexible   |                             |
| GRC   | Substrates  | ITN Energy Systems, Inc.    |
| _     | Soft Magnetic Nanocomposites for High-Frequency   |                             |
| GRC   | Power Applications  | Nanomat, Inc.               |
| GRC   | Long-Lived Solar Concentrator for Space Power   | L'Grade. Inc.               |



## SBIR 2001 PH-II Awards List

|            | A Plan Execution System For Web-Based Scientific      |                            |
|------------|---|----------------------------|
| ARC        | Data Integration                                      | Fetch Technologies         |
|            | 1024 x 1024 Liquid Crystal Multi-Level Spatial Light  | Boulder Nonlinear Systems, |
| ARC        | Modulator   | Inc.                       |
|            | Hyperspectral Remote Sensing Processing Incorporating |                            |
| SSC        | coremicro IMU and GPS Data                            | American GNC Corporation   |
|            | Web-Based Hurricane Storm Surge and Flood             |                            |
| SSC        | Forecasting Using Optimized IFSAR Bald Earth DEMs     | WorldWinds, Inc.           |
| Applying E | arth Science Measurements                             |                            |
|            | An In-situ, Biogeochemical Sensor using Excitation-   |                            |
| SSC        | Emission Matrix Fluorometry                           | WET Labs, Inc.             |
| 000        |   |                            |